

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

ELECTROSURGICAL APPARATUS AND METHODS FOR  
CUTTING TISSUE

Application Number: 10/082017  
Confirmation Number: 4438  
First Named Applicant: Scott Ciarrocca  
Attorney Docket Number:



Search string: ( 5122138 or 5190517 or 5192280 or 5330470  
or 5366443 or 5647869 or 5662680 or 5417687  
or 5843019 or 5871469 or 4967765 or 5197963  
or 5267997 or 5290282 or 5314406 or 5324254  
or 5383876 or 5395312 or 5944715 or 4202337  
or 4674499 or 4936301 or 4943290 or 4979948  
or 5035696 or 5195959 or 5700262 or 5807395  
or 5496312 or 5556397 or 5681282 or 5683366  
or 5697536 or 5766153 or 5810764 or 5810809  
or 5885277 or 5897553 or 6126682 or 6168593  
or 5423810 or 5436566 or 5438302 or 6004319  
or 6013076 or 6015406 or 6027501 or 6039734  
or 6056746 or 6068628 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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14	5290282	1994-03-01	Casscells
15	5314406	1994-05-24	Arias et al.
16	5324254	1994-06-28	Phillips
17	5383876	1995-01-24	Nardella
18	5395312	1995-03-07	Desai
19	5944715	1999-08-31	Goble et al.
20	4202337	1980-05-13	Hren et al.
21	4674499	1987-06-23	Pao
22	4936301	1990-06-26	Rexroth et al.
23	4943290	1990-07-24	Rexroth et al.
24	4979948	1990-12-25	Geddes et al.
25	5035696	1991-07-30	Rydell
26	5195959	1993-03-23	Smith
27	5700262	1997-12-23	Acosta et al.
28	5807395	1998-09-15	Mulier et al.
29	5496312	1996-03-05	Klicek
30	5556397	1996-09-17	Long et al.
31	5681282	1997-10-28	Eggers et al.
32	5683366	1997-11-04	Eggers et al.
33	5697536	1997-12-16	Eggers et al.
34	5766153	1998-06-16	Eggers et al.
35	5810764	1998-09-22	Eggers et al.
36	5810809	1998-09-22	Rydell
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38	5897553	1999-04-27	Mulier
39	6126682	2000-10-03	Sharkey et al.
40	6168593	2001-01-02	Sharkey et al.
41	5423810	1995-06-13	Goble et al.
42	5436566	1995-07-25	Thompson et al.
43	5438302	1995-08-01	Goble
44	6004319	1999-12-21	Goble et al.
45	6013076	2000-01-11	Goble et al.
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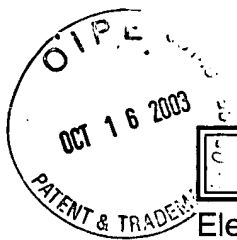
## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 3 of 6 parts each submitted on October 16, 2003. The first part of this submission (part 1) has been concomittently filed via priority mail (1 box) with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 3) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

## Signature

Examiner Name	Date
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First Named Applicant: Scott Ciarrocca

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Search string: ( 5496314 or 5496317 or 5571100 or 5633578  
or 5860975 or 4682596 or 5078717 or 5267994  
or 5273524 or 5300069 or 5312400 or 5334140  
or 5342357 or 5514130 or 5569242 or 4593691  
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or 5383917 or 4040426 or 4116198 or 4548207  
or 4823791 or 5098431 ).pn.

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12	5334140	1994-08-02	Philips
13	5342357	1994-08-30	Nardella
14	5514130	1996-05-07	Baker
15	5569242	1996-10-29	Lax et al.
16	4593691	1986-06-10	Lindstrom et al.
17	4931047	1990-06-05	Broadwin et al.
18	4998933	1991-03-12	Eggers et al.
19	5178620	1993-01-12	Eggers et al.
20	5366443	1994-11-22	Eggers et al.
21	5380277	1995-01-10	Phillips
22	5419767	1995-05-30	Eggers et al.
23	5697281	1997-12-16	Eggers et al.
24	5697882	1997-12-16	Eggers et al.
25	5697909	1997-12-16	Eggers et al.
26	4532924	1985-08-06	Auth et al.
27	4765331	1988-08-23	Petruzzi et al.
28	4976711	1990-12-11	Parins et al.
29	5125928	1992-06-30	Parins et al.
30	4043342	1997-08-23	Morrison, Jr.
31	4228800	1980-10-21	Degler, Jr. et al.
32	4248231	1981-02-03	Herczog et al.
33	4326529	1982-04-27	Doss et al.
34	4381007	1983-04-26	Doss
35	4476862	1984-10-16	Pao
36	4706667	1987-11-17	Roos
37	5009656	1991-04-23	Reimels
38	5584872	1996-12-17	LaFontaine et al.
39	5609151	1997-03-11	Mulier et al.
40	5676693	1997-10-14	LaFontaine et al.
41	5725524	1998-03-10	Mulier et al.
42	4232676	1980-11-11	Herczog
43	5007908	1991-04-16	Rydell
44	5370675	1994-12-06	Edwards et al.
45	5383917	1995-01-24	Desai et al.
46	4040426	1977-08-09	Morrison, Jr.

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47	4116198	1978-09-26	Roos
48	4548207	1985-10-22	Reimels
49	4823791	1989-04-25	D'Amelio et al.
50	5098431	1992-03-24	Rydell

## Remarks

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## Signature

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<i>[Signature]</i>	1/22/04

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Search string: ( 6074386 or 6090106 or 6093186 or 6228081  
or 6261286 or 6293942 or 6306134 or 3815604  
or 3920021 or 4590934 or 4785823 or 4805616  
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or 6179824 or 6183469 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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89	1	6074386	2000-06-13	Goble et al.			
	2	6090106	2000-07-18	Goble et al.			
	3	6093186	2000-07-25	Goble et al.			
	4	6228081	2001-05-08	Goble			
	5	6261286	2001-07-17	Goble et al.			
	6	6293942	2001-09-25	Goble et al.			
	7	6306134	2001-10-23	Goble et al.			
	8	3815604	1974-06-11	O'Malley et al.			
	9	3920021	1975-11-18	Hiltebrandt			
	10	4590934	1986-05-27	Malis et al.			

11	4785823	1988-11-22	Eggers et al.
12	4805616	1989-02-21	Pao
13	4832048	1989-05-23	Cohen
14	5085659	1992-02-04	Rydell
15	5171311	1992-12-15	Rydell et al.
16	5207675	1993-05-04	Canady
17	5888198	1999-03-30	Eggers et al.
18	5891095	1999-03-30	Eggers et al.
19	5902272	1999-05-11	Eggers et al.
20	6066134	2000-05-23	Eggers et al.
21	6149620	2000-11-21	Baker et al.
22	6159194	2000-12-12	Eggers et al.
23	6159208	2000-12-12	Hovda et al.
24	6179836	2001-01-30	Eggers et al.
25	6190381	2001-02-20	Olsen et al.
26	6203542	2001-03-20	Ellsberry et al.
27	6224592	2001-05-01	Eggers et al.
28	6235020	2001-05-22	Cheng et al.
29	6238391	2001-05-29	Olsen et al.
30	6254600	2001-07-30	Willink et al.
31	6264652	2001-07-24	Eggers et al.
32	6296636	2001-10-02	Cheng et al.
33	6296638	2001-10-02	Davison et al.
34	6312408	2001-11-06	Eggers et al.
35	6322549	2001-11-27	Eggers et al.
36	6355032	2002-03-12	Hovda et al.
37	6363937	2002-04-02	Hovda et al.
38	6379351	2002-04-30	Thapliyal et al.
39	6391025	2002-05-21	Weinstein et al.
40	6416507	2002-07-09	Eggers et al.
41	6416508	2002-07-09	Eggers et al.
42	6432103	2002-08-13	Ellsberry et al.
43	5873855	1999-02-23	Eggers et al.
44	6024733	2000-02-15	Eggers et al.
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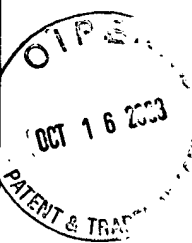
## Remarks

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This submission is part 4 of 6 parts each submitted on October 16, 2003. The first part of this submission (part 1) has been concomittently filed via priority mail (1 box) with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 4) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

## Signature

Examiner Name	Date
	1/20/04



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Application Number: 10/082017  
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Attorney Docket Number:

Search string: ( 4785807 or 5190541 or 5261905 or 5807392  
or 5893849 or 6063083 or 6066137 or 5217460  
or 6096037 or 5702390 or 6217575 or 6273887  
or 4116198 or 5197964 or 5514130 or  
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## US Patent Documents

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## Signature

Examiner Name	Date
	11/20/03

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Search string: ( 6190381 or 6210402 or 6277112 or 6102046  
 or 5375588 or 6530922 or 5197466 or 6602248  
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## US Patent Documents

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## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

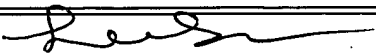
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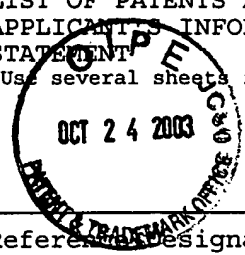
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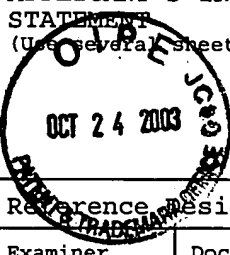
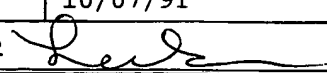
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Signature

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FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.  <div style="text-align: center; font-weight: bold;">G-4</div>		Application No.:  <div style="text-align: center; font-weight: bold;">10/082,017</div>	
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			Filing Date: <b>February 25, 2002</b>		Group: <b>3739</b>	
U.S. PATENT DOCUMENTS						
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<input type="checkbox"/> AC	94/08654	04/28/94	WIPO	A61M	37/00	
<input type="checkbox"/> AD	97/00647	01/09/97	WIPO	A61B	17/39	
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<input type="checkbox"/> AF	EP 0 703 461	03/27/96	Europe	G01R	27/02	
<input type="checkbox"/> AG	EP 0 754 437	01/22/97	Europe	A61B	17/39	
<input type="checkbox"/> AH	97/48345	12/24/97	WIPO	A61B	17/39	
<input type="checkbox"/> AI	98/27880	07/02/98	WIPO	A61B	17/39	
<input type="checkbox"/> AJ	2 327 350	01/27/99	UK	A61B	17/39	
<input type="checkbox"/> AK	2 327 351	01/27/99	UK	A61B	17/39	
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<input type="checkbox"/> AM	3930451	03/21/91	Germany	A61B	17/39	
<input checked="" type="checkbox"/> AN	57-57802	04/05/82	JP	A61B	1/00	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<input checked="" type="checkbox"/> AO	Pearce, John A. (1986) <i>Electrosurgery</i> , pgs. 17, 69-75, 87, John Wiley & Sons, New York.					
<input type="checkbox"/> AP	J.W. Ramsey et al. <i>Urological Research</i> Vol. 13, pp. 99-102 (1985).					
<input type="checkbox"/> AQ	V.E. Elsasser et al. <i>Acta Medico Technica</i> Vol. 24, No. 4, pp. 129-134 (1976).					
<input type="checkbox"/> AR	P.C. Nardella (1989) <i>SPIE</i> 1068:42-49 Radio Frequency Energy and Impedance Feedback					
<input type="checkbox"/> AS	R. Tucker et al., Abstract P14-11, p. 248, "A Bipolar Electrosurgical Turp Loop"					
<input type="checkbox"/> AT	R. Tucker et al. <i>J. of Urology</i> Vol. 141, pp. 662-665, (1989).					
<input type="checkbox"/> AU	R. Tucker et al. <i>Urological Research</i> Vol. 18, pp. 291-294 (1990).					
<input checked="" type="checkbox"/> AV	Kramolowsky et al. <i>J. of Urology</i> Vol. 143, pp. 275-277 (1990).					
EXAMINER <i>Leider</i>		DATE CONSIDERED 1/25/04				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No.  <div style="text-align: center; font-weight: bold;">G-4</div>		Application No.:  <div style="text-align: center; font-weight: bold;">10/082,017</div>		
		Applicant: <b>Scott A. Ciarrocca</b>				
		Filing Date: <b>February 25, 2002</b>		Group: <b>3739</b>		
U.S. PATENT DOCUMENTS						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<input checked="" type="checkbox"/> AW	WO 95/34259	12/21/95	WIPO	A61F	5/48	
<input type="checkbox"/> AX	WO 93/13816	07/22/93	WIPO	A61B	17/36	
<input type="checkbox"/> AY	WO 90/07303	07/12/90	WIPO	A61B	17/39	
<input type="checkbox"/> AZ	WO 94/04220	03/03/94	WIPO	yes	A61N	1/06
<input type="checkbox"/> BA	0 740 926 A2	11/06/96	EP	A61B	17/39	
<input type="checkbox"/> BB	96/00042	01/04/96	WIPO	A61B	17/39	
<input type="checkbox"/> BC	97/24073	07/10/97	WIPO	A61B	17/39	
<input type="checkbox"/> BD	97/24993	07/17/97	WIPO	A61B	17/39	
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<input type="checkbox"/> BG	98/07468	02/26/98	WIPO	A61N	1/40	
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<input type="checkbox"/> BJ	2 308 981	07/16/97	GB	A61B	17/36	
<input type="checkbox"/> BK	57-117843	07/22/82	JP	A61B	17/39	
<input type="checkbox"/> BL	99/51158	10/14/99	WIPO	A61B	17/39	
<input type="checkbox"/> BM	99/51155	10/14/99	WIPO	A61B	17/36	
<input type="checkbox"/> BN	98/27879	07/02/98	WIPO	A61B	17/36	
<input checked="" type="checkbox"/> BO	WO 97/24074	07/10/97	WIPO	A61B	17/39	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<input checked="" type="checkbox"/> BP	Kramolowsky et al. <i>J. of Urology</i> Vol. 146, pp. 669-674 (1991).					
<input type="checkbox"/> BQ	Slager et al. <i>Z. Kardiol.</i> 76:Suppl. 6, 67-71 (1987).					
<input type="checkbox"/> BR	Slager et al. <i>JACC</i> 5(6):1382-6 (1985).					
<input checked="" type="checkbox"/> BS	Olsen MD, Bipolar Laparoscopic Cholecstectomy Lecture (marked confidential), 10/07/91					
EXAMINER 		DATE CONSIDERED <b>1/20/04</b>				

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<div style="display: inline-block; border: 2px solid black; border-radius: 50%; padding: 10px; transform: rotate(-15deg);">             OCT 24 2003              PATENT &amp; TRADEMARK OFFICE           </div> <div style="display: inline-block; text-align: center; margin-left: 20px;"> <b>RECEIVED</b>  <b>OCT 28 2003</b> </div>		Applicant: <b>Scott A. Ciarrocca</b>				
		Filing Date: <b>February 25, 2002</b>	Group: <b>3739</b>			
Reference Designation: <b>TECHNOLOGY CENTER R3708. PATENT DOCUMENTS</b>						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<input checked="" type="checkbox"/> BT	90/03152	04/05/90	WIPO	A61B	17/39	
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<input checked="" type="checkbox"/> BU1	93/20747	10/28/93	WIPO	A61B	5/00	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
<input checked="" type="checkbox"/> BV	Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991					
<input type="checkbox"/> BW	Valley Forge's New Products, CLINICA, 475, 5, 11/6/91					
<input type="checkbox"/> BX	Valley Forge Scientific Corp., "Summary of Safety and Effective Information from 510K," 1991					
<input type="checkbox"/> BY	Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting System CMC-II" brochure, early 1991					
<input type="checkbox"/> BZ	L. Malis, "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, early 4/9/93					
<input type="checkbox"/> CA	L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 American Association of Neurological Surgeons Meeting," 1995					
<input type="checkbox"/> CB	L. Malis, "Electrosurgery, Technical Note," <i>J. Neursurg.</i> , Vol. 85, 970-975, 11/96					
<input type="checkbox"/> CC	Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical Applications," <i>Dentistry Today</i> , Vol. 20, No. 12, 12/01					
<input type="checkbox"/> CD	Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in the Treatment of Experimental Canine Gastric Ulcer Bleeding," <i>Gastroenterology</i> Vol. 80, No. 3, pp. 451-455					
<input type="checkbox"/> CE	Cook and Webster, "Therapeutic Medical Devices: Application and Design," 1982					
<input type="checkbox"/> CF	Valleylab SSE2L Instruction Manual, 1/6/83					
<input type="checkbox"/> CG	Robert D. Tucker et al., "Demodulated Low Frequency Currents from Electrosurgical Procedures," <i>Surgery, Gynecology and Obstetrics</i> , 159:39-43, 1984					
<input checked="" type="checkbox"/> CH	Lu, et al., "Electrical Thermal Angioplasty: Catheter Design Features, In Vitro Tissue Ablation Studies and In Vitro Experimental Findings," <i>Am J. Cardiol</i> Vol. 60, pp. 1117-1122					
EXAMINER <i>[Signature]</i>		DATE CONSIDERED <i>1/20/02</i>				

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FORM PTO-1449 (Modified)		Attorney Docket No.  <b>G-4</b>		Application No.:  <b>10/082,017</b>		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) <b>OCT 24 2003</b> <div style="text-align: center; font-size: 1.5em; font-weight: bold;">RECEIVED</div> <b>OCT 28 2003</b>		Applicant: <b>Scott A. Ciarrocca</b>				
		Filing Date: <b>February 25, 2002</b>		Group: <b>3739</b>		
Reference Designation <b>TECHNOLOGY CENTER R3700</b> <b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<u>CH2</u>	03/024339	3/27/03	WO			
<u>CH2</u>	2 379 878	3/26/03	GB			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<u>CH3</u>	Selikowitz & LaCourse, "Electric Current and Voltage Recordings on the Myocardium During Electrosurgical Procedures in Canines," <i>Surgery, Gynecology &amp; Obstetrics</i> , Vol. 164, 219-224, March 1987					
— CI	J. O'Malley, Schaum's Outline of Theory and Problems of Basic Circuit Analysis, McGraw-Hill, 2 <sup>nd</sup> Ed., 1992, pp. 3-5					
— CJ	Arnaud Wattiez et al., "Electrosurgery in Operative Endoscopy," <i>Electrosurgical Effects</i> , Blackwell Science, pp. 85-93, 1995					
— CK	Leslie A. Geddes, "Medical Device Accidents: With Illustrative Cases" CRC Press, 1998					
— CL	Wyeth, "Electrosurgical Unit" pp. 1181-1202					
— CM	C.P. Swain, et al., <i>Gut</i> Vol. 25, pp. 1424-1431 (1984)					
— CN	Piercey et al., <i>Gastroenterology</i> Vol. 74(3), pp. 527-534 (1978)					
— CO	A.K. Dobbie <i>Bio-Medical Engineering</i> Vol. 4, pp. 206-216 (1969)					
— CP	B. Lee et al. <i>JACC</i> Vol. 13(5), pp. 1167-1175 (1989)					
— CQ	K. Barry et al. <i>American Heart Journal</i> Vol. 117, pp. 332-341 (1982)					
— CR	W. Honig <i>IEEE</i> pp. 58-65 (1975)					
— CS	Jacob Kline, <i>Handbook of Biomedical Engineering</i> , Academic Press Inc., N.Y., pp. 98-113, 1988					
— CT	M.B. Dennis et al. "Evolution of Electrofulguration in Control of Bleeding of Experimental Gastric Ulcers," <i>Digestive Diseases and Sciences</i> , Vol. 24, No. 11, 845-848					
— CU	Letter from Department of Health to Jerry Malis dated April 15, 1985					
— CV	Letter from Jerry Malis to FDA dated July 25, 1985					
— CW	Letter from Department of Health to Jerry Malis dated 04/22/91					
<u>CX</u>	Leonard Malis, "Instrumentation for Microvascular Neurosurgery" <i>Cerebrovascular Surgery</i> , Vol 1, 245-260, 1985.					
EXAMINER <u>  </u>		DATE CONSIDERED <u>1/25/01</u>				

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